

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Anthony J. Baerlocher et al.  
Appl. No.: Unknown  
Conf. No.: Unknown  
Filed: Unknown  
Title: GAMING DEVICE HAVING RISK EVALUATION BONUS ROUND  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112300-1453

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/688,434, filed on October 16, 2000, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,448,419	May 15, 1984	Telnaes
4,582,324	April 15, 1986	Koza et al.
4,624,459	November 25, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.
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5,178,390	January 12, 1993	Okada
5,205,555	April 27, 1993	Hamano
5,342,047	August 30, 1994	Heidel et al.
5,407,200	April 18, 1995	Zalabak
5,456,465	October 10, 1995	Durham
5,524,888	June 11, 1996	Heidel
5,536,016	July 16, 1996	Thompson
5,542,669	August 6, 1996	Charron et al.

5,560,603	October 1, 1996	Seelig et al.
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5,711,525	January 27, 1998	Breeding
5,769,716	June 23, 1998	Saffari et al.
5,772,509	June 30, 1998	Weiss
5,775,692	July 7, 1998	Watts et al.
5,788,573	August 4, 1998	Baerlocher et al.
5,823,874	October 20, 1998	Weiss
5,833,538	November 10, 1998	Weiss
5,848,932	December 15, 1998	Adams
5,851,148	December 22, 1998	Brune et al.
5,873,781	February 23, 1999	Keane
5,882,261	March 16, 1999	Adams
5,902,184	May 11, 1999	Bennett et al.
5,911,418	June 15, 1999	Adams
5,947,820	September 7, 1999	Morro et al.
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5,984,781	November 16, 1999	Sunaga
5,997,400	December 7, 1999	Seelig et al.
5,997,401	December 7, 1999	Crawford
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,015,346	January 18, 2000	Bennett
6,019,369	February 1, 2000	Nakagawa et al.
6,033,307	March 7, 2000	Vancura
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6,059,289	May 9, 2000	Vancura
6,059,658	May 9, 2000	Mangano et al.
6,062,980	May 16, 2000	Luciano
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6,089,978	July 18, 2000	Adams
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6,102,798	August 15, 2000	Bennett
6,120,031	September 19, 2000	Adams
6,126,541	October 3, 2000	Fuchs
6,126,542	October 3, 2000	Fier
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6,142,875	November 7, 2000	Kodachi et al.
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6,159,095	December 12, 2000	Frohm et al.
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6,159,097	December 12, 2000	Gura
6,159,098	December 12, 2000	Slomiany et al.
6,162,121	December 19, 2000	Morro et al.
6,168,520 B1	January 2, 2001	Baerlocher et al.
6,168,523 B1	January 2, 2001	Piechowiak et al.
6,173,955 B1	January 16, 2001	Perrie et al.
6,174,233 B1	January 16, 2001	Sunaya et al.
6,174,235 B1	January 26, 2001	Walker et al.
6,190,254 B1	Feburyar 20, 2001	Bennett
6,190,255 B1	February 20, 2001	Thomas et al.
6,203,429 B1	March 20, 2001	Demar et al.
6,210,279 B1	April 3, 2001	Dickinson
6,213,876 B1	April 10, 2001	Moore, Jr.
6,224,483 B1	May 1, 2001	Mayeroff
6,231,442 B1	May 15, 2001	Meyeroff
6,231,445 B1	May 15, 2001	Acres
6,261,177 B1	July 17, 2001	Bennett
6,302,790 B1	October 16, 2001	Brossard
6,305,686 B1	October 23, 2001	Perrie et al.

6,309,300 B1	October 20, 2001	Glavich
6,328,649 B1	December 11, 2001	Randall et al.
6,375,187	April 23, 2002	Baerlocher

#### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
WO 97/32285	September 4, 1997	PCT
EP 0874337 A1	October 28, 1998	European
EP 0926645 A2	June 30, 1999	European
EP 0944030 A2	September 22, 1999	European
EP 0945837 A2	September 29, 1999	European
EP 0981119 A2	February 23, 2000	European
EP 0984408 A2	March 8, 2000	European
EP 0984409 A2	March 8, 2000	European
WO 00/12186	March 9, 2000	PCT

#### **OTHER DOCUMENTS**

Addams Family Article written by Strictly Slots published 2000

Addams Family Brochure, IGT, published 2000

Adders and Ladders Advertisement written by Barcrest Ltd., published prior to 2000.

American Thunder Screen Shots written by IGT, published in 1998.

Big Bang Piggy Bankin Advertisement written by WMS Gaming, Inc., published prior to 2000.

Blackjack/Twenty-One Description written by Hoyle's Rules of Games, published in 1993.

Bonus Spin Red, White & Blue Advertisement written by IGT, published in 2000.

Bonus Times Article written by Strictly Slots, published in 2000.

By George written by IGT, published in 2002.

Caribbean Gold II Advertisement written by Aristocrat Incorporated, published in 1998.

Cash Box Advertisement & Article written by Anchor Games, Strictly Slots, published in 2000.

Chutes & Ladders Game Instructions written by Hasbro-Milton Bradley, published in 1999.

Description of Let's Make a Deal Television Show written by letsmakeadeal.com (2 pages), printed on 03/16/01

Double Diamond Game Descriptions written by IGT printed on March 21, 2001.

Double Up Poker Game Description written by IGT, available prior to 2000

Easy Street Advertisements and Articles written by Casino Data Systems, published in 2000.

Elvis Advertisement written by IGT, published in 1999.

Empire Game Advertisement written by AC Coin, published in 1996.

Fire and Fortune Article written by Strictly Slots, published in 2001.

Fox "N" Hound Advertisement written by IGT, published in 2000.

In Between Game Description written by IGT, available prior to 2000

Jackpot Party Advertisements and Articles written by WMS Gaming, Inc., published in 1998.

Keep Your Hat On Advertisement written by Aristocrat, published in 2001.

Let's Make a Deal written by geocities.com (10 pages), printed on 03/21/01.

Let's Make a Deal written by fortunecity.com (4 pages), printed on 03/21/01.

Let's Make a Deal written by illinoislottery.com (1 page), printed on 03/21/01.

Let's Make a Deal geocities.com (2 pages), printed on 03/16/01.

Let's Make A Deal Advertisement written by Shuffle Master and IGT, published in 2001.

Let's Make a Deal Game Advertisement written by Bally Gaming Systems, published in 1999.

Little Green Men Advertisement and Article written by IGT, Strictly Slots, published in 2000.

MegaJackpots Advertisement written by IGT, published in 1998.

Money Grab Article written by Strictly Slots, published in April 2001.

Money in the Bank Advertisement written by Strictly Slots Konami, published in 2001.

Monopoly Advertisements and Articles written by WMS Gaming, Inc., Strictly Slots, published in 1998, 1999, 2000.

Monopoly Party Train Article written by Strictly Slots, published in 2002.

Neon Nights written by IGT, published in 2000.

On the Money Article written by Strictly Slots, Casino Data Systems, published in December 2000.

Polly & Roger Advertisement written by VLC, Inc., published in 2000.

Price is Right "Cliff Hangers" Description written by www.geocities.com; members.aol.com (web site), printed 3/21/01.

Price is Right "Showcases" Description written by schuminweb.com (web site), printed 3/16/01.

Psycho Cash Beast Club (including knockouts) written by Barcrest, published prior to 1998.

Richard Petty Brochure written by IGT, published 2000

South Park – Dodgeball Advertisement written by IGT, published in 2000.

Spell Binder Advertisement written by IGT, published in 2000.

Sphinx Advertisement written by Atronic Casino Technology, Ltd., published in 1997.

Take Your Pick Article written by Strictly Slots, published in March 2001.

Take Your Pick Advertisement written by IGT/Anchor Gaming, published in 1999.

Texas Tea Advertisement written by IGT, published in 2000.

The Deals of Let's Make a Deal written by fortunecity.com (2 pages), printed on 03/16/01.

The Official Let's Make a Deal Website written by Bally Gaming System Website, printed on 03/16/01.

Top Cat Advertisement written by WMS Gaming, Inc., published prior to 2000.

Top Dollar Brochure, IGT, published 1998

Totem Pole Advertisement written by IGT, published in 1997.

Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.

Wheel of Fortune Brochure written by IGT, published 1998

Wheel of Fortune Advertisement written by IGT, published in 1999.

Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.

Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.

X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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Dated: July 9, 2003

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary) PTO Form 1449		Atty Docket No. 0112300-1453	Application No. Unknown
		Applicant Anthony J. Baerlocher, et al.	
		Filing Date Unknown	Group Unknown

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Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
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	WO 9732285	September 4, 1997	PCT			
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	Addams Family Article written by Strictly Slots published 2000
	Addams Family Brochure, IGT, published 2000
	Adders and Ladders Advertisement written by Barcrest Ltd., published prior to 2000.
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	Bonus Times Article written by Strictly Slots, published in 2000.
	By George written by IGT, published in 2002.
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	Let's Make a Deal written by geocities.com (10 pages), printed on 03/21/01.
	Let's Make a Deal written by fortunecity.com (4 pages), printed on 03/21/01.
	Let's Make a Deal written by Illinoislottery.com (1 page), printed on 03/21/01.
	Let's Make a Deal geocities.com (2 pages), printed on 03/16/01.
	Let's Make A Deal Advertisement written by Shuffle Master and IGT, published in 2001.
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	Little Green Men Advertisement and Article written by IGT, Strictly Slots, published in 2000.
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	Price is Right "Showcases" Description written by schuminweb.com (web site), printed 3/16/01.
	Psycho Cash Beast Club (including knockouts) written by Barcrest, published prior to 1998.
	Richard Petty Brochure written by IGT, published 2000
	South Park – Dodgeball Advertisement written by IGT, published in 2000.
	Spell Binder Advertisement written by IGT, published in 2000.
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